



12-11-03

2634

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Takanori IWAMATSU, et al.
Serial No : 09/771,229
Filed : January 26, 2001
Title : TRANSMISSION APPARATUS...
Examiner : Young Toi Tse
Group Art Unit : 2634

#13

RECEIVED

DEC 15 2003

December 10, 2003 Technology Center 2600

Director of the U.S. Patent and
Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED PTO-1449 FORM

SIR:

Enclosed please find a corrected PTO-1449 form for the above referenced case,
indicating the correct **Publication Dates for four references** listed on a previously submitted
PTO-1449 form dated December 3, 2003.

The previously submitted PTO-1449 form listed the incorrect publication dates for
references EP 0 368 307, EP 0 249 931, EP 0 184 805 & EP 0 173 298.

Also attached are copies of the references listed in the corrected PTO-1449, indicating the
correct publication dates.

Any fee, due as a result of this paper may be charged on Deposit Account No.
50-1290.

Respectfully submitted,

Thomas J. Bean
Reg. No. 44,528

KATTEN MUCHIN ZAVIS ROSENMAN
575 MADISON AVENUE
New York, New York 10022-2585
Tel. (212) 940-8800
Docket No.: FUJS 13.045A(100794-10736)


CORRECTED PTO1449 FORM

Please type a plus sign (+) → +

Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

1449/PTO

 U.S. Department of Commerce
 Patent and Trademark Office

Application No. : 09/771,229

Filing Date : January 26, 2001

First Named Inventor: T. IWAMATSU

Group Art Unit : 2634

Examiner Name : Y.T. TSE

Attorney Docket No. : FUJS 13.045A

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document	Kind Code if known ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear

RECEIVED

DEC 15 2003

Technology Center 2000

FOREIGN DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY/Y	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		0 368 307	EP	NEC CORPORATION	05/16/1990	
		0 249 931	EP	FUJITSU LIMITED	12/23/1987	
		0 184 805	EP	NEC CORPORATION	06/18/1986	
		0 173 298	EP	NEC CORPORATION	03/05/1986	

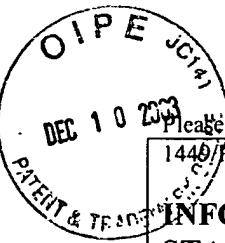
Other Prior Art-Non Patent Literature Documents

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, country, where published, source.	Applicant check here if English language translation attached
Examiner Signature		Date Considered	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1⁴ if possible. * Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Please type a plus sign (+) → +

Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

1440 PTO S.C.	U.S. Department of Commerce Patent and Trademark Office	Application No. : 09/771,229
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date : January 26, 2001
Sheet 1 of 1		First Named Inventor: T. IWAMATSU
		Group Art Unit : 2634
		Examiner Name : Y.T. TSE
		Attorney Docket No. : FUJS 13.045A

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	U.S. Patent Document	Kind Code if known ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		5,255,289		TOMITA	10/19/1993	
		4,012,591		SAILER, et al.	03/15/1977	

RECEIVED
DEC 15 2003

FOREIGN DOCUMENTS							Technology Center 2600
Examiner Initials	Cite No. ¹	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY/Y	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear	
		3124329	DE	AEG TELEFUNKEN NACHRICHTEN	04/28/1983		
		0 368 307	EP	NEC CORPORATION	06/12/1990		
		0 249 931	EP	FUJITSU LIMITED	09/01/1993		
		0 184 805	EP	NEC CORPORATION	03/13/1991		
		0 173 298	EP	NEC CORPORATION	04/17/1991		

Incorrect

Other Prior Art-Non Patent Literature Documents

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, country, where published, source.	Applicant check here if English language translation attached
		SAILER, "TIMING RECOVERY IN DATA TRANSMISSION SYSTEMS USING MULTILEVEL PARTIAL RESPONSE SIGNALING" INTERNATIONAL CONFERENCE ON COMMUNICATIONS CONFERENCE RECORD ICC '92, JUNE 14-18, 1992: VOL. 2, JUNE 1975, Pages 24-27, XP000579359	
		"OFFSET BINARY SIMPLIFIES TIMING CONTROL IN A PRIV COMMUNICATION CHANNEL" IBM TECHNICAL DISCLOSURE BULLETIN, VOL. 33, NO. 12 MAY 1, 1991, pages 5-8, XP000121439	

Examiner Signature	Date Considered
--------------------	-----------------

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1 if possible. * Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.